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FACSIMILE TRANSMISSION

TO
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FAX 571-273-8300
PHONE
NO. OF PAGES 10 (including this page)
DATE November 6, 2006

KELLEY DRYE & WARREN LLP
TWO STAMFORD PLAZA
281 TRESSER BOULEVARD
STAMFORD, CONNECTICUT
06901-3229
(203) 324-1400
FAX (203) 327-2669

MESSAGE:

Re: U.S. Patent Application No. 09/465,506
Filing Date: December 16, 1999
First Named Inventor: Lundberg
Attorney Docket Number: ICON-102(US)

Enclosed please find the following: (i) Reply to Notice Regarding Power of Attorney; (ii) Statement Under 37 CFR 3.73(b); (iii) previously submitted Power of Attorney and Change of Correspondence Address; and (iv) Notice of Recordation of Assignment.


Thelma A. Chen Cleland, Reg. 40,948

FROM Thelma A. Chen Cleland
PHONE (203) 351-8063
E-MAIL tchenCleland@kelleydrye.com
TIMEKEEPER ID 05236
CLIENT NO. 090008.0089

NEW YORK, NY
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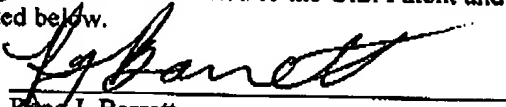
NOV 06 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LUNDBERG, et al. Group Art Unit: 3693
Serial No.: 09/465,506 Examiner: PAGEL, Jagdish
Filing Date: December 16, 1999 Docket No.: ICON-102(US)
Title: SYSTEM AND METHOD FOR SUPPORTING A SECURITY-TRADE
FINANCING SERVICE

Confirmation No.: 8959**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at (571) 273-8300, on the date indicated below.

Date: November 6, 2006
Rena J. Barrett

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REPLY TO NOTICE REGARDING POWER OF ATTORNEY

Sir:

This letter is submitted in response to a Notice Regarding Power of Attorney ("Notice") that may have been mailed in the above-identified application. A copy of the Notice is not enclosed as Applicants did not received the Notice since the Office apparently erred in mailing the Notice to Pillsbury Winthrop Shaw Pittman LLP, who no longer represents Applicants. Additionally, the Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address form (Form PTO/SB/82) filed on June 20, 2005 was filed by Applicants' present representatives (copy attached), Kelley Drye & Warren LLP. Accordingly, the Notice should have been mailed by the Office to the present representatives. Applicants became aware that the correspondence address had not been changed as a result of a call from the Examiner.

Attorney Docket No.: ICON-102(US)
Serial No. 09/465,506

A proper Assignment has been recorded by the Office for Application Serial No. 09/465,506 under Reel /Frame 010468/0432 (copy of recordation data is attached). Through the Assignment, the entire title, and rights to the application as well as any continuation, divisional and continuation-in-part applications are assigned to Icon International Inc. from each of the inventors. Therefore, Applicants submit that a proper Assignment has been recorded with the Office for the present application, which assigns the rights to the application to Icon International Inc. Applicants enclose herewith Form PTO/SB/96 signed by an Officer of the assignee of record.

No fee is due with this communication; however, the Commissioner is hereby authorized to charge any fees required with this paper to Deposit Account No. 11-0404.

Dated: November 6, 2006

Respectfully submitted,

KELLEY DRYE & WARREN LLP
Attorneys and Agents for Applicants

By: Thelma Chen Cleland
Thelma A. Chen Cleland
Reg. No. 40,948
Two Stamford Plaza
281 Tresser Boulevard
Stamford, CT 06901
Tel. (203) 351-8063
Fax. (203) 327-2669

Enclosures

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PTO/SB/02 (04-03)

Approved for use through 11/30/2005. OMB 0651-0005

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/465,506
Filing Date	December 16, 1999
First Named Inventor	Lance Lundberg
Art Unit	3624
Examiner Name	Jagdish Patel
Attorney Docket Number	ICON-102

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

47670

☒ Please change the correspondence address for the above-identified application to:

☐ The address associated with
Customer Number:

OR

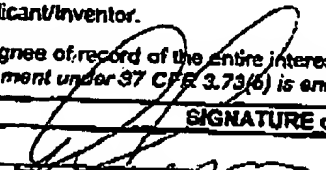
<input checked="" type="checkbox"/> Firm or Individual Name	KELLEY DRYE & WARREN LLP			
Address	TWO STAMFORD PLAZA 281 TRESSER BOULEVARD			
City	STAMFORD	State	CT	Zip
Country	US			
Telephone	203-324-1400	Email		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature			
Name	John P. Kramer		
Date	June 17, 2005	Telephone	(203) 328-2300

NOTE: Signatures of all the trustees or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-4199 and select option 2.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Lundberg et al.Application No./Patent No./Control No.: 001465508Filed/Issue Date: December 16, 1999Entitled: System and Method for Supporting a Security-Trade Financing ServiceIcon International Inc.

a corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010468, Frame 0432, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature] 11/6/06
Signature Date

John P. Kramer

Printed or Typed Name

203-328-2300

Telephone Number

Chief Executive Officer

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public when it is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JUN 20 2005 2:05 PM FR KDW		203 351 8128 TO *20360808010000170 P.01	
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FROM		Hans-Peter G. Hoffmann	
PHONE		(203) 351-8011	
E-MAIL		phoffmann@kelly-drye.com	
TIME RECEIVED		05337	
CLIENT NO.		090001-0000	
<p>PLEASE CONFIRM RECEIPT OF THIS FACSIMILE TRANSMISSION.</p> <p>Kelly Drye & Warren LLP 281 Trester Boulevard Stamford, CT 06901</p> <p>Please process accordingly. Change of Correspondence Address with reference to the above identified U.S. Patent Application.</p> <p>Please find enclosed an executed Revocation of Power of Attorney With New Power of Attorney and \$10.</p> <p>Re: U.S. Patent Application S/N 09/465,506 Our Ref: ICCO - 102</p>			
MESSAGE:			
FIRM		U.S. Patent and Trademark Office	
CITY		Washington, D.C.	
FAX		703-872-9306	
NO. OF PAGES		2 (including this page)	
DATE		June 20, 2005	
TO			
Examiner: English, Paul - Group Art Unit - 3624			
FACSIMILE TRANSMISSION			
PAGE 10 * RCVD AT 11/6/2006 4:11:51 PM [Eastern Standard Time] * SVR:USPTO-EFXXRF-1/14 * DNIS:2738300 * CSID:203 351 8150 * DURATION (mm-ss):02:58			

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TO Examiner Jagdish Patel- Group Art Unit - 3624
FIRM U.S. Patent and Trademark Office
CITY Washington, D.C.
FAX 703-872-9306
PHONE
NO. OF PAGES 2 (including this page)
DATE June 20, 2005

MESSAGE: Re: U.S. Patent Application S/N 09/465,506
Our Ref.: ICON - 102

Sir,

Please find enclosed an executed Revocation of Power of Attorney With New Power of Attorney and Change of Correspondence Address with reference to the above identified U.S. Patent Application. Please process accordingly.

Kelley Drye & Warren LLP
Two Stamford Plaza
281 Tresser Boulevard
Stamford, CT 06901

PLEASE CONFIRM RECEIPT OF THIS FACSIMILE TRANSMISSION.

FROM Hans-Peter G. Hoffmann
PHONE (203) 351-8011
E-MAIL phoffmann@kelleydrye.com
TIMEKEEPER ID 05237
CLIENT NO. 090001-0000

CT01/TERRV/215483.1

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MARCH 24, 2000

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JAMES W. JAKOBSEN, ESQ.
FOUR STAMFORD PLAZA
107 ELM STREET, P.O. BOX 120
STAMFORD, CT 06904



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101239111A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/16/1999

REEL/FRAME: 010468/0432
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LUNDBERG, LANCE

DOC DATE: 12/15/1999

ASSIGNOR:
VAN THIEL, GIJS F.J.

DOC DATE: 12/15/1999

ASSIGNEE:
ICON INTERNATIONAL INC.
281 TRESSER BOULEVARD
TWO STAMFORD PLAZA, 8TH FLOOR
STAMFORD, CONNECTICUT 06901SERIAL NUMBER: 09465506
PATENT NUMBER:FILING DATE: 12/16/1999
ISSUE DATE:

NOV 06 2006 4:03 PM FR KDW

203 351 8150 TO 915712738300

P.09

010468/0432 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

cket No.: ICON-101484200.0003

FORM PTO-1595 (Modified)
(Rev. 6-83)
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Patent and Trademark Office

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101239111

To the Honorable Commissioner of Patent and Trademark

attached original documents or copy thereof.

1. Name of conveying party(ies):

Lance LUNDBERG and Gijs F. J. VAN THIEL

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 15, 1999

2. Name and address of receiving party(ies):

Name: ICON International Inc.

Internal Address: _____

Two Stamford Plaza, 8th Floor

Street Address: 281 Tresser Boulevard

City: Stamford State: CT ZIP: 06901

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration numbers(s): 09/465506

If this document is being filed together with a new application, the execution date of the application is: December 15, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James W. Jakobsen, Esq.

Internal Address: _____

Cummings & Lockwood

Four Stamford Plaza

Street Address: 107 Elm Street

P. O. Box 120

City: Stamford State: CT ZIP: 06904

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

11-0231

01/06/2000 IMUYEN 06000198 09465506

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James W. Jakobsen

Name of Person Signing

Signature

December 16, 1999

Date

Total number of pages including cover sheet: 1